# Role of breast MRI in predicting pathologically negative nodes after neoadjuvant chemotherapy in cNO patients in the I-SPY 2 trial

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### BACKGROUND AND AIMS

- In patients with clinically node-negative (cNO) breast cancer with triple negative (TN) or HER2+ disease who achieve a breast pathological complete response (pCR) after neoadjuvant chemotherapy (NAC), low rates of nodal positivity have been demonstrated.
- In these patients, the omission of surgical axillary staging has been proposed. However, breast pCR information is not known preoperatively.
- Aims of this study:
- To validate the correlation between breast pCR and pathologically negative nodes after NAC (ypNO)
- To evaluate the correlation between response of the tumor in the breast on MRI and nodal status in cNO patients in the I-SPY 2 trial.

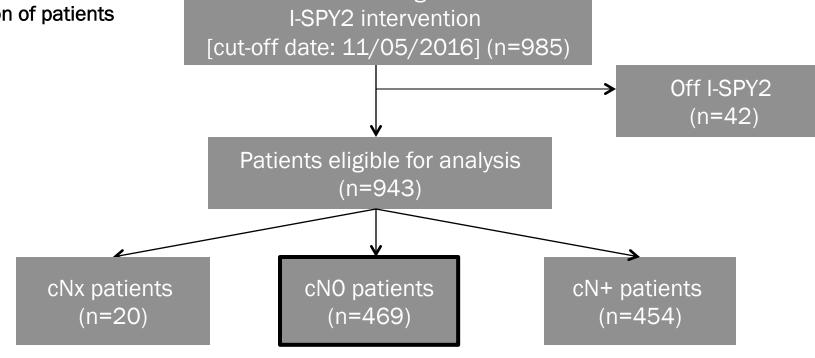
# ELIGIBILITY AND METHODS

In the I-SPY 2 study, eligible tumors must meet one of the following criteria: Stage II or III, or T4, any N, M0, including clinical or pathologic inflammatory cancer or Regional Stage IV, where supraclavicular lymph nodes are the only sites metastasis.

For this study, we identified all patients with cT1-4 cN0 breast cancer at presentation from all closed experimental and control arms in the I-SPY2 trial.

- •Absence of residual breast disease post-NAC on MRI was defined as longest diameter (LD) of 0 mm (LD=0)
- •Residual disease post-NAC on MRI was defined as LD of >0 mm (LD>0)
- Breast pCR: absence of invasive tumor in the breast at surgery
- •Associations between ypNO and patient, MRI, and tumor characteristics were assessed using chi-square tests, univariate regression and Venn diagrams
- •The distribution of nodal status in correlation to LD and RCB was assessed using a scatter box plot

### **ENROLLMENT** Patients receiving allocated Figure 2: Inclusion and exclusion of patients I-SPY2 intervention



## RESULTS

Table 1: Proportion of patients with pathologic negative nodes in patients with and without breast pCR, and with and without residual disease on MRI

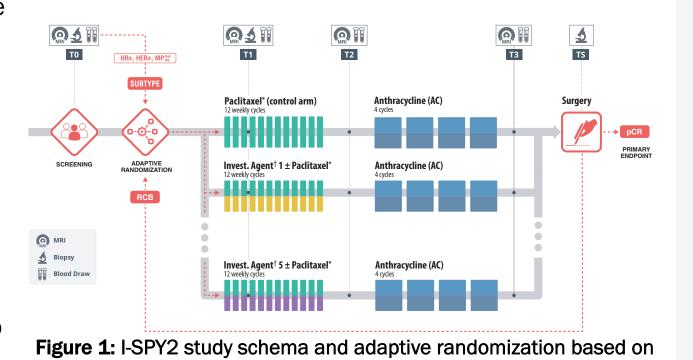
	Response of the breast at pathology				Response of the breast on MRI			
Subtype	ypN0 in pts with breast pCR (%)		ypNO in pts without breast pCR (%)		ypN0 in pts with LD=0 (%)		ypN0 in pts LD>0 (%)	
HR+/HER2- (n=162)	35/41	(85%)	78/121	(65%)	36/48	(75%)	74/108	(69%)
HR+/HER2+ (n=77)	30/31	(97%)	26/46	(54%)	26/31	(84%)	28/43	(65%)
HR-/HER2+ (n=39)	28/29	(97%)	10/10	(100%)	19/20	(95%)	18/18	(100%)
<b>TN</b> (n=191)	92/96	(96%)	76/95	(80%)	68/72	(94%)	97/116	(84%)

# I-SPY2's ADAPTIVE TRIAL DESIGN

I-SPY 2 is a multicenter, phase 2 trial using response-adaptive randomization within biomarker subtypes to evaluate a series of novel agents when added to standard neoadjuvant therapy for women with high-risk stage II/III breast (FIG.1). Within each patient subtype, participants are assigned to one of several investigational therapies or the control regimen (4:1). Randomization probabilities are weighed by the probability of achieving a pCR within each subtype for each agent and adapts over the course of the trial. The primary endpoint is pathologic complete response (pCR, no residual disease in breast or nodes) at surgery.

The goal is to identify/graduate regimens that have ≥85% Bayesian predictive probability of success (statistical significance) in a 300-patient phase 3 neoadjuvant trial, defined by hormone-receptor (HR) & HER2 status & MammaPrint (MP).

Regimens may leave the trial for one of four reasons: Graduate, Drop for futility (< 10% probability of success), Drop for safety issues, or accruing maximum sample size (10%< probability of success <85%).



probabilities of agents of achieving pCR within a given subtype

# RESULTS continued

- Overall, 375/469 patients (80%) were ypN0
- The strongest correlation between ypNO and breast pCR was observed in patients with HR+HER2+, HR-HER2+ and TN tumors (respectively 97%, 97%, 96%) [table 1]
- The strongest correlation between ypNO and LD=0 on MRI was observed in patients with HR-/HER2+ and TN tumors (respectively 95% and 94%)
- Significant predictors for ypNO at univariate regression (table 2) were:
- Tumor subtype

- Breast MRI findings pre-surgery
- MammaPrint classification
  - Pathologic response breast tumor
- Tumor grade

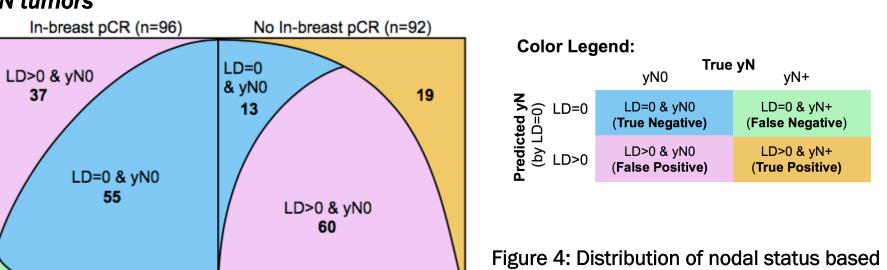
Table 2 - Predictors for ypNO at univariate regression

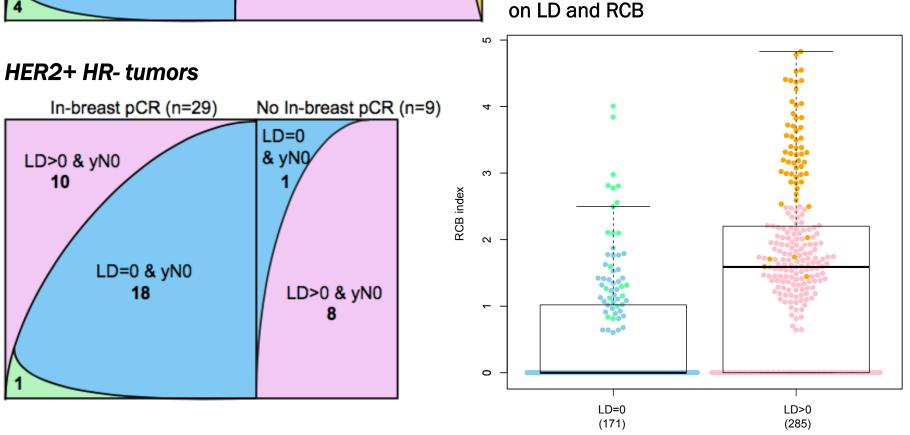
Characteristic	Total	ypNO (%)		p-value
All patients	469	375	(80)	n.a.
Subtype				
HR+HER2-	162	113	(70)	
HR+HER2+	77	56	(73)	<0.001
HR-HER2+	39	38	(97)	
TN	191	168	(88)	
MammaPrint Classification				
High Risk 1	221	155	(70)	<0.001
High Risk 2	247	219	(89)	<0.001
Unknown	1			
Grade				
	7	4	(57)	
II	83	59	(71)	0.001
III	234	200	(86)	
Unknown	145			
T-category				
T1	20	17	(85)	
T2	342	278	(81)	0.059
T3	98	75	(77)	
T3	9	5	(56)	
Breast MRI findings pre-surgery				
LD=0 (imaging breast CR)	171	149	(87)	0.005
LD > 0	285	217	(76)	0.005
Unknown	13			
Breast pathologic complete response				
Breast pCR (ypT0)	197	185	(94)	<0.001
no breast pCR (ypTis/ypT1)	272	190	(70)	

### In patients with LD=0 on MRI who achieved breast pCR, the strongest correlation between LD=0 and ypN0 was observed in HR-HER2+ patients (figure 3)

- Patients with LD=0 had significantly lower RCB index than those with LD>0
- ypNO patients with LD=0 on MRI had lower RCB scores compared to patients with LD>0 on MRI (figure 4)
- ypN+ patients with LD=0 on MRI had lower RCB scores compared to patients with LD>0 on MRI

### Figure 3: Association between breast pCR, LD on MRI and ypN TN tumors





# CONCLUSIONS

- Overall, 80% of cT1-4 cN0 patients were ypN0 after NAC; 80% was ypN+
- In cT1-4 cN0 breast cancer patients with HR+HER2+, HR-HER2- and TN tumors and a breast pCR, ypNO rates after NAC are very high (96-97%)
- In HR- (HER2+/-) patients with no residual disease in the breast on MRI after NAC, ypNO rates are high at 94-95%. Consideration of omission of axillary surgery in these patients is warranted.
- In patients with LD=0 on MRI who achieved breast pCR, the strongest correlation between LD=0 and ypN0 was observed in HR-/HER2+ patients
- Breast MRI after NAC (prior to surgery) can be used to assess for residual breast disease and predict pathologic breast pCR.
- In HR+ patients, breast MRI is insufficiently predictive for pCR and can not be used to identify ypN-patients at high likelihood of ypNO.
- In HR+/HER2- patients, pCR in the breast is associated with 15% ypN+

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